# **REMARKS**

## I. Status of Claims

Claims 1-299 have been canceled herein without prejudice. Claims 300-317 have been added and are the only pending claims.

Support for new claims 300-317 can be found in the application as originally filed. For the Examiner's convenience, Applicants point out in the following Table 1, the specific written description support in the specification for the elements of claims 300-317.

Table 1.

| Element   | Support in Specification   |
|---|--|
| A method for making up lips comprising applying to said lips a lipstick composition comprising                              | See page 2, third full paragraph, stating, "[t]he invention also provides for a cosmetic process for making up a keratinous material comprising applying to at least one keratinous material a composition As used herein, 'keratinous material' is meant to comprise lips" Page 4, first full paragraph, further discloses that the composition may be in the form of "a lipstick product." |
| at least one liquid fatty phase   | See page 17, starting with the section entitled "Liquid Fatty Phase," through page21, first paragraph.   |
| at least one oil soluble polymer chosen from polymers of formula (I):  R¹-O-[-C-R²-C-N-R³-N-] <sub>n</sub> -C-R²-C-O-R¹ (I) | See page 10, second full paragraph to the paragraph bridging pages 10 and 11.  |
| in which n denotes a number of amide units, such  |  |

| that the number of ester groups represents from                                      |  |
|--|--|
| 10% to 50% of the total number of ester and  |  |
| amide groups; R <sup>1</sup> is, in each case, independently                         |  |
| an alkyl or alkenyl group having at least 4 carbon                                   |  |
| atoms; R <sup>2</sup> independently represents, in each case,                        |  |
| a C <sub>4</sub> to C <sub>42</sub> hydrocarbonaceous group, provided                |  |
| that 50% of the R <sup>2</sup> groups represent a C <sub>30</sub> to C <sub>42</sub> |  |
| hydrocarbonaceous group; R <sup>3</sup> independently                                |  |
| represents, in each case, an organic group   |  |
| provided with at least 2 carbon atoms, with  |  |
| hydrogen atoms and optionally with one or more                                       |  |
| oxygen or nitrogen atoms; and R <sup>4</sup> independently                           |  |
| represents, in each case, a hydrogen atom, a C <sub>1</sub>                          |  |
| to C <sub>10</sub> alkyl group or a direct bond to R <sup>3</sup> or another         |  |
| $R^4$ , so that the nitrogen atom to which both $R^3$ and                            |  |
| R <sup>4</sup> are bonded forms part of a heterocyclic                               |  |
| structure defined by R <sup>4</sup> -N-R <sup>3</sup> , with at least 50% of         |  |
| the R <sup>4</sup> groups representing a hydrogen atom;                              | Cooperate Of Europe Full and a second                          |
| at least one oil-soluble polymer chosen from alkyl                                   | See page 21, first full paragraph,                             |
| celluloses and alkylated guar gums;  | which states, "[t]he compositions                              |
|  | of the invention may further comprise at least one oil-soluble |
|  | polymer chosen from alkylated                                  |
|  | guar gums and alkyl celluloses.                                |
| at least one coloring agent.   | Page 29, fourth paragraph,                                     |
| at least one coloning agent.   | disclosing that "[t]he compositions                            |
|  | of the invention may also                                      |
|  | comprise at least one coloring                                 |
|  | agent"   |
| wherein the alkyl cellulose is ethylcellulose  | Page 21, second paragraph,                                     |
|  | states, "alkyl cellulose[] may be                              |
|  | chosen from, for example,                                      |
|  | ethylcellulose"  |
| wherein the alkylated guar gums are chosen from                                      | Page 21, second paragraph,                                     |
| C <sub>1</sub> -C <sub>5</sub> alkyl galactomannans                                  | states, "[a]lkylated guar gums                                 |
|  | include, for example, C <sub>1-5</sub> alkyl                   |
|  | galactomannans "   |
| wherein the alkylated guar gums are chosen from                                      | Page 21, second paragraph,                                     |
| ethyl guars  | states, "[a]lkylated guar gums                                 |
|  | include, for example, ethyl guars                              |
| wherein the at least one liquid fatty phase further                                  | Page 28, first paragraph,                                      |
| comprises a silicone oil   | discloses that "[t]he liquid fatty                             |
|  | phase, in one embodiment,                                      |
| I  |  |
|  | contains silicone oils"  |

| comprises at least one fatty alcohol  | stating that "[t]he compositions may further comprise at least one fatty alcohol."   |
|---|--|
| wherein the at least one fatty alcohol is chosen from $C_8$ to $C_{26}$ fatty alcohols  | Page 23, fourth full paragraph, states that "[t]he at least one fatty alcohol may be chosen from, for example, C <sub>8</sub> to C <sub>26</sub> fatty alcohols."  |
| wherein the at least one fatty alcohol is chosen from $C_{12}$ to $C_{22}$ fatty alcohols   | Page 23, fourth full paragraph, states that "[t]he at least one fatty alcohol may be chosen from, for example, C <sub>12</sub> to C <sub>22</sub> fatty alcohols."   |
| wherein the $C_{12}$ to $C_{20}$ fatty alcohols are chosen from myristyl alcohol, cetyl alcohol, stearyl alcohol, and behenyl alcohol | Page 23, fourth full paragraph, states that, "[i]n one embodiment, the at least one fatty alcohol is chosen from myristyl, cetyl, stearyl, and behenyl alcohol."   |
| at least one polymer chosen from ethylenediamine/stearyl dimer tallate copolymer  | See page 12, fourth paragraph, reciting Uniclear and that Uniclear "may be mixtures of copolymers derived from monomer of (i) C <sub>36</sub> diacids and (ii) ethylenediamine." See also International Cosmetic Ingredient Dictionary and Handbook ("CTFA") page 606 (attached herewith as Exhibit 1), reciting that ethylenediamine/ stearyl dimer tallate copolymer is at least one copolymer of ethylenediamine and tall oil dimer acid monomers, end-blocked with stearyl alcohol and further reciting that a trade name for ethylenediamine/stearyl dimer tallate copolymer is Uniclear. Thus, the specification reasonably conveys the use of at least one ethylenediamine/stearyl dimer tallate copolymer to make a mascara and the use for making-up eyelashes using a mascara comprising at least one ethylenediamine/stearyl dimer tallate copolymer. |

The Title and Abstract have been amended to more accurately describe the presently claimed invention. Support for the new Title and Abstract can be found throughout the application as originally filed, and as discussed above. Accordingly, no new matter has been added.

# II. Rejections under 35 U.S.C. § 103

### A. Ross in view of Arnaud

Claims 1-27, 40-46, 50-63, 66, 69-111, 131-138, 142-155, 187-213, 226-281, 286, and 289-299 have been rejected under 35 U.S.C. § 103 as obvious over U.S. Patent No. 5,500,209 to Ross et al. ("Ross") in view of U.S. Patent No. 5,908,631 to Arnaud et al. ("Arnaud"). Applicants note that all of the rejected claims have been canceled herein. In the interest of advancing prosecution, however, Applicants have considered the rejection in conjunction with the newly added claims 300-317, and have determined that the instant claims are not anticipated by nor rendered obvious over Ross in view of Arnaud.

According to the Examiner, "Ross discloses compositions containing [a] polyamide-gelling agent," such as Macromelt 6212. Office Action at 2, citing Ross, col. 14, II. 52-53.

The Examiner admits that "Ross does not mention oil-soluble polymer[s]," yet attempts to rectify this deficiency in Ross with Arnaud, stating that "Arnaud discloses [a] mono[h]ydric alcohol free composition comprising solubilized ethyl cellulose for topical use." Office Action at 2. The Examiner then concludes that "[i]t would have been

obvious to add to the composition of Ross, the ethyl cellulose (of Arnaud) to enhance adhesion, durability[,] viscosity and hydrophobicity efficacy." *Id.* 

No *prima facie* case of obvious has been established, however, over new claims 300-317. In order to establish such a *prima facie* case, the Examiner must, among other things, show that the prior art reference or references teaches or suggests all of the claim limitations. M.P.E.P. § 2143. This the Examiner cannot do.

Applicants note that instant claim 300 is different from the rejected claims in that it is specifically directed to at least one polymer chosen from polymers of formula (I). As the Examiner admits, "Ross (together with Arnaud), fails to mention [the] structural polymer of the (claimed) structure of instant claim 28 [which is directed to formula (I)]." Office Action at 2.

Indeed, all of the newly added claims are directed towards a polymer of formula (I) or a species of such a polymer. The Examiner has thus failed to establish that Ross in view of Arnaud teaches or suggests this polymer, and therefore, Applicants respectfully assert that the instant claims are not anticipated by or obvious over the cited art.

### B. Ross in view of Arnaud and further in view of Pavlin

Claims 28-39, 112-130, and 214-225 have been rejected under 35 U.S.C. § 103 as obvious over Ross in view of Arnaud and further in view of U.S. Patent No. 5,783,657 to Pavlin et al. ("Pavlin"). Relying on both Ross and Arnaud, the Examiner, as discussed above, admits that neither Ross nor Arnaud teaches or suggests the structural polymer as claimed in claim 28, comprising a polymer of formula (I). The Examiner, however, relies on Pavlin for "disclos[ing] ester-terminated polyamides of

polymerized fatty acids useful in gels." Office Action at 3. Thus, the Examiner concludes that "it would have been obvious from [the] teachings of Pavlin that the polymer of Ross can be represented by a formula as shown by Pavlin." *Id.* 

In addition to establishing that the references teach or suggest each and every claim element, in order to demonstrate a *prima facie* case of obviousness, the Examiner must further establish that there exists some suggestion or motivation, either in the prior art references or in the knowledge generally available to one of ordinary skill in the art, to modify the reference or to combine reference teachings. M.P.E.P. § 2143. There is no such teaching or suggestion in Ross, Arnaud, Pavlin, or a combination of those three references.

After a thorough review of the Office Action and the cited references, it appears the only noted "suggestion" to combine references that the Examiner mentions is the desirability touted in Arnaud for the composition's "enhanc[ed] adhesion, durability, viscosity and hydrophobicity." See Office Action at 2; Arnaud, col. 1, II. 53-54. Yet the Examiner fails to explain why these properties would create any suggestion or motivation to combine Arnaud with Ross. Ross discloses a deodorant and antiperspirant composition containing a polyamide gelling agent which clearly would not benefit from, but rather would be hindered by, "enhanc[ed] adhesion, durability, viscosity and hydrophobicity."

More specifically, Ross unequivocally praises the virtues of *decreasing* adhesion and hydrophobicity: "Desirably, the composition according to the present invention includes a surface active agent, to ensure <u>rinsability</u> of the formula." Ross, col. 16, II. 55-57. Indeed, common sense dictates that one of ordinary skill in the art would have

no motivation to make a deodorant composition with the adhesive and hydrophobic properties characteristic of the makeup compositions disclosed in Amaud, and the Examiner has failed to establish otherwise. As Ross makes clear, the deodorant and antiperspirant composition should ideally have good rinsability. Therefore, no *prima facie* case of obviousness has been established, and Applicants respectfully request withdrawal of the rejection.

The supplementary reference Pavlin does not cure the deficiencies of Ross and Arnaud, as the Examiner has not provided any helpful rationale, as he must, for why one of ordinary skill in the art would be motivated to combine Pavlin with either Arnaud or Ross. As the Federal Circuit has recently emphasized:

The factual inquiry whether to combine references must be thorough and searching. It <u>must be based on objective evidence of record</u>.... Thus the Board must not only assure that the requisite findings are made, based on evidence of record, but <u>must also explain the reasoning by</u> which the findings are deemed to support the agency's conclusion.

In re Lee, 277 F.3d 1338, 1342 (Fed. Cir. 2002) (emphasis added). There is simply no indication from the present Office Action that one of ordinary skill in the art would be motivated to combine Pavlin with either Ross or Arnaud. Therefore, Applicants respectfully assert that no *prima facie* case of obviousness can be established based upon the cited references.

## C. Ross in view of Arnaud, and further in view of Mondet

Claims 64, 65, 67, 68, and 156-186, all of which have been canceled herein, have been rejected under 35 U.S.C. § 103 as obvious over Ross in view of Arnaud, and further in view of U.S. Patent No. 6,180,123 to Mondet et al. ("Mondet"). Furthermore,

new claims 300-317 are not antipated nor rendered obvious by the combination of Ross in view of Arnaud and further in view of Mondet.

The Examiner admits that neither Ross nor Arnaud discloses "gum as an ingredient of gel." Office Action at 3. However, the Examiner alleges that "Mondet discloses [a] composition in which alkylated guar gums are used as thickening agents," and therefore, "it would have been obvious to use the alkylated guar gums (of Mondet) as thickening agents[s] in [the] composition of Ross to enhance stability and to increase viscosity." *Id.* Mondet cannot cure the deficiencies of Ross and Arnaud, discussed above.

## D. Ross in view of Arnaud and further in view of Ferrari

Claims 47-49 and 139-141, all of which have been canceled herein, have been rejected under 35 U.S.C. § 103 as obvious over Ross in view of Arnaud, and further in view of U.S. Patent No. 6,402,408 to Ferrari et al. ("Ferrari"). While the Examiner admits that Ross and Arnaud do not teach or suggest hardness of the composition, the Examiner states that Ferrari discloses a composition "containing [a] liquid fatty phase gelled with polyamide . . . [and] values of hardness typically associated with such [a] composition." Office Action at 3. Thus the Examiner concludes that "it would have been obvious that the composition of Ross possesses the (claimed) values of hardness." *Id.* Again, Ferrari does not cure the deficiencies of Ross and Arnaud, and therefore Applicants respectfully assert that claims 300-317 are patentable thereover.

### E. Tournilhac in view of Arnaud

Claim 288, canceled herein, has been rejected under 35 U.S.C. § 103 as obvious over U.S. Patent No. 6,287,552 to Tournilhac et al. ("Tournilhac") in view of Arnaud.

According to the Examiner, "Tournilhac discloses compositions comprising a polyester and a film-forming polymer . . .," adding that "Tournilhac does not mention oil-soluble polymer[s]." Office Action at 4. The Examiner then attempts to rely on the oil-soluble polymers of Arnaud, stating that "it would have been obvious to add to the composition of Tournilhac, the oil-soluble polymer of Arnaud to enhance adhesion[,] durability, viscosity and hydrophobicity efficacy." *Id.* at 4.

While Applicants disagree with the Examiner's characterization of the references and do not believe a *prima facie* case of obviousness has been established, this rejection is rendered moot by the cancellation of claim 288 herein. Furthermore, Tournilhac, Arnaud, or a combination of the two, do not teach or suggest all of the claim limitations of new claims 300-317, such as, for example, at least one polymer chosen from polymers of formula (I). Therefore, there is no *prima facie* case of obviousness.

# III. No Obviousness-Type Double Patenting

Applicants bring to the Office's attention the following copending Application Nos.: 09/618,066 (claim 77); 09/685,577 (claim 78); 09/618,032 (claim 38); 09/685,578 (claim 42); 09/733,898 (claims 174-178); 09/733,897; 10/203,254 (claim 99); 10/129,377 (claim 84); 09/749,036 (claims 84, 177, and 200); and 10/047,987 (claim 134), all of which are referenced below in Table 2. While Applicant does not believe there are any obviousness-type double patenting issues, after consideration of the copending applications listed in Table 2, the claims of these ten applications appear to be the closest in scope to the instant claims.

## IV. U.S. Patent No. 6,497,861 to Wang et al.

As referenced in the Information Disclosure Statement filed July 24, 2003,
Applicant is aware of U.S. Patent No. 6,497,861 to Wang et al. ("Wang"), filed June 21,
2001. However, Applicant does not believe that this patent is prior art with respect to
the present application. In this regard, Applicant points out that the instant application
was filed on December 12, 2000. Nor does Applicant believe that the claims presented
herein define the same patentable invention as any of those of Wang. Hence, Applicant
does not believe that there is any interfering subject matter between the present claims
and those of Wang.

## V. Commonly Assigned Applications

Applicant has identified the related copending applications and patents below in Table 2 that were filed prior to December 12, 2000. Applicant does not believe that any of the identified copending U.S. Patent Applications or any relevant publications thereof or relevant PCT publications of a counterpart thereof, describes or suggests the subject matter of the claims of the present application under 35 U.S.C. § 102(e) and/or § 103.

Also listed in Table 2, below, is the publication information (U.S. Published Applications and/or U.S. Patents), if any, that correspond to these copending applications and their dates of publication. Applicant asserts that all of the applications listed in Table 2 that were filed prior to the instant application's priority date were commonly owned by the Assignee at the time the instant invention was made, which instant invention was also subject to assignment to the Assignee. Moreover, Applicant has provided for the Office's convenience the available assignment information in Table

2 or confirmed the obligation of assignment with the assignee, demonstrating that none of these applications, patents, or publications is available as § 102(e)/§ 103 prior art against claims 300-317. See 35 U.S.C. § 103(c).

# VI. Patentability over Copending Applications and Patents Issued Therefrom Cited in Information Disclosure Statements

For the Office's convenience, Applicant identifies in Table 2 below 36 related copending applications, including the instant application, as well as those listed on the PTO Forms 1449 filed on March 20, 2002, and July 24, 2003, or filed herewith, including filing date, assignment, and inventor information. This should assist the Office in assessing any possible issues under statutory double patenting. This information will also allow the Office to address any issues of obviousness-type double patenting not discussed above. Applicant does not believe that any issue with respect to statutory double patenting under 35 U.S.C. § 101 is present with respect to claims 300-317 of the instant application and the claims of any other copending application or patent listed in Table 2. To be sure, however, Applicant provides Exhibit 2, which contains all of the claims of the 36 pending applications, including the instant claims. As the Office can see from Exhibit 2, no other application contains claims which are identical to the instant claims.

Table 2.

| Attorney<br>Docket<br>No. | U.S. Patent<br>Application<br>No. | U.S. Filing<br>Date/<br>371 (c)<br>Date | Inventors                      | Title                                     | Assignment<br>Recorded<br>(Reel,<br>Frame, Date) | U.S.<br>Publication,<br>Date     |
|---------------------------|-----------------------------------|---|--------------------------------|---|--|----------------------------------|
| 05725.<br>0594-           | 09/733,899                        | December<br>12, 2000                    | Mohamed<br>KANJI,<br>Carl ORR, | COSMETIC<br>COMPOSITIONS<br>CONTAINING AT | Reel 011723,<br>Frame 0503,<br>on April 20,      | U.S.<br>Published<br>Application |

| Attorney<br>Docket<br>No. | U.S. Patent<br>Application<br>No.  | U.S. Filing<br>Date/<br>371 (c)<br>Date | Inventors                                   | Title   | Assignment<br>Recorded<br>(Reel,<br>Frame, Date)           | U.S.<br>Publication,<br>Date   |
|---------------------------|--|---|---|---|--|--|
| 00000                     |  |   | and Carlos<br>O. PINZON                     | LEAST ONE HETERO POLYMER AND AT LEAST ONE FILM-FORMING SILICONE RESIN AND METHODS OF USING                        | 2001   | No. US<br>2002/011477<br>3 A1<br>Dated:<br>August 22,<br>2002  |
| 05725.<br>0595-<br>00000  | 09/733,900   | December<br>12, 2000                    | Carlos O.<br>PINZON<br>and Paul<br>THAU     | COSMETIC COMPOSITIONS CONTAINING HETEROPOLY- MERS AND OIL- SOLUBLE CATIONIC SURFACTANTS AND METHODS OF USING SAME | Reel 011639,<br>Frame 0897,<br>on March 23,<br>2001        | U.S. Published Application No. US 2002/012278 1 A1 (Republished US 2003/008212 6A9 on May 1, 2003)  Dated: September 5, 2002 |
| 05725.<br>0656-<br>00000  | 09/618,066   | July 17,<br>2000                        | Véronique<br>FERRARI<br>and Pascal<br>SIMON | COMPOSITIONS<br>IN RIGID FORM<br>STRUCTURED<br>WITH A<br>POLYMER  | Reel 011057,<br>Frame 0676,<br>on<br>September<br>11, 2000 | N/A: Will not<br>publish   |
| 05725.<br>0656-<br>01000  | 09/685,577   | October<br>11, 2000                     | Véronique<br>FERRARI<br>and Pascal<br>SIMON | COMPOSITIONS IN RIGID FORM STRUCTURED WITH A POLYMER  | Reel 011455,<br>Frame 0203,<br>on January<br>22, 2001      | N/A: Will not publish  |
| 05725.<br>0659-<br>00000  | 09/618,032,<br>issued on<br>June 11,<br>2002, as U.S.<br>Patent No.<br>6,402,408 | July 17,<br>2000                        | Véronique<br>FERRARI                        | COMPOSITION CONTAINING A LIQUID FATTY PHASE GELLED WITH A POLYAMIDE CONTAINING ESTER END GROUPS                   | Reel 011057,<br>Frame 0007,<br>on<br>September<br>12, 2000 | U.S. Patent<br>No.<br>6,402,408<br>Dated: June<br>11, 2002   |
| 05725.<br>0659-           | 09/685,578   | October                                 | Véronique                                   | COMPOSITION CONTAINING A  | Reel 011549,<br>Frame 0914,                                | N/A: Will not  |

| Attorney<br>Docket<br>No. | U.S. Patent<br>Application<br>No. | U.S. Filing<br>Date/<br>371 (c)<br>Date                      | Inventors   | Title =   | Assignment<br>Recorded<br>(Reel,<br>Frame, Date)    | U.S.<br>Publication,<br>Date  |
|---------------------------|-----------------------------------|--|---|---|---|---|
| 01000                     |                                   | 11, 2000   | FERRARI   | LIQUID FATTY PHASE GELLED WITH A POLYAMIDE CONTAINING ESTER END GROUPS  | on February<br>20, 2001                             | publish   |
| 05725.<br>0795-<br>01000  | 10/182,830                        | August 2,<br>2002<br>371 (c)<br>Date:<br>January<br>21, 2003 | Roberto CAVA- ZZUTI, Véronique FERRARI, Brian MATTOX, Carlos O. PINZON, and Paul THAU | USE OF POLYAMIDE POLYMER IN A MASCARA COMPOSITION COMPRISING AT LEAST ONE SOLID SUBSTANCE HAVING A MELTING POINT OF 45°C OR GREATER             | Reel 014040,<br>Frame 0345,<br>on May 7,<br>2003    | U.S. Published Application No. 2003/014783 7 A1  Dated: August 7, 2003                                      |
| 05725.<br>0795-<br>02000  | Not yet<br>assigned               | February<br>27, 2004   | Roberto CAVA- ZZUTI, Véronique FERRARI, Brian MATTOX, Carlos O. PINZON, and Paul THAU | METHOD OF MAKING A MASCARA COMPOSITION- COMPRISING POLYAMIDE POLYMER AND AT LEAST ONE SOLID SUBSTANCE HAVING A MELTING POINT OF 45°C OR GREATER | Reel 014040,<br>Frame 0345,<br>on May 7,<br>2003    | Not yet<br>published  |
| 05725.<br>0806-<br>00000  | 09/733,896                        | December<br>12, 2000   | Carlos O.<br>PINZON<br>and Paul<br>THAU   | COMPOSITIONS<br>CONTAINING<br>HETEROPOLY-<br>MERS AND OIL-<br>SOLUBLE<br>POLYMERS<br>AND METHODS<br>OF USING<br>SAME                            | Reel 011765,<br>Frame 0183,<br>on April 26,<br>2001 | U.S. Published Application No. US 2002/012003 6 A1 (Republished US 2003/012542 7 A9 on July 3, 2003) Dated: |

| Attorney<br>Docket<br>No. | U.S. Patent<br>Application<br>No. | U.S. Filing<br>Date/<br>371 (c)<br>Date                    | Inventors   | Title   | Assignment<br>Recorded<br>(Reel,<br>Frame, Date)    | U.S.<br>Publication,<br>Date  |
|---------------------------|-----------------------------------|--|---|---|---|---|
|                           |                                   |  |   |   |   | August 29,<br>2002  |
| 05725.<br>0808-<br>00000  | 09/733,898                        | December<br>12, 2000                                       | Carlos O.<br>PINZON,<br>Paul<br>THAU, and<br>Isabelle<br>BARA                                   | COMPOSITIONS CONTAINING HETEROPOLY- MERS AND OIL- SOLUBLE ESTERS AND METHODS OF USING SAME          | Reel 011654,<br>Frame 0869,<br>on April 2,<br>2001  | U.S. Published Application No. US 2002/010731 4 A1  Dated: August 8, 2002                         |
| 05725.<br>0809-<br>00000  | 09/733,897                        | December<br>12, 2000                                       | Carlos O.<br>PINZON<br>and Paul<br>THAU   | COMPOSITIONS<br>CONTAINING<br>HETEROPOLY-<br>MERS AND<br>METHODS OF<br>USING SAME                   | Reel 011646,<br>Frame 0966,<br>on April 4,<br>2001  | U.S. Published Application No. US 2002/011133 0 A1  Dated: August 15, 2002                        |
| 05725.<br>0816-<br>01000  | 10/203,018                        | August 5,<br>2002<br>371 (c)<br>Date:<br>March 24,<br>2003 | Véronique<br>FERRARI,<br>Richard<br>KOLOD-<br>ZIEJ,<br>Carlos O.<br>PINZON,<br>and Paul<br>THAU | USE OF POLYAMIDE POLYMER IN A MASCARA COMPOSITION COMPRISING AT LEAST ONE INERT FILLER              | Reel 014055,<br>Frame 0428,<br>on March 24,<br>2003 | U.S.<br>Published<br>Application<br>No. US<br>2003/016184<br>8 A1<br>Dated:<br>August 28,<br>2003 |
| 05725.<br>0816-<br>02000  | Not yet<br>assigned               | February<br>27, 2004                                       | Véronique<br>FERRARI,<br>Richard<br>KOLOD-<br>ZIEJ,<br>Carlos O.<br>PINZON,<br>and Paul<br>THAU | METHOD OF MAKING A MASCARA COMPOSITION COMPRISING A POLYAMIDE POLYMER AND AT LEAST ONE INERT FILLER | Reel 014055,<br>Frame 0428,<br>on March 24,<br>2003 | Not yet<br>published  |
| 05725.<br>0817-<br>01000  | 10/203,254                        | August 7,<br>2002  | Véronique<br>FERRARI,<br>Carlos O.<br>PINZON,   | COSMETIC<br>COMPOSITIONS<br>CONTAINING AT<br>LEAST ONE  | Reel 013607,<br>Frame 0258,<br>on December          | U.S.<br>Published<br>Application<br>No. US  |

| Attorney<br>Docket<br>No. | U.S. Patent<br>Application<br>No. | U.S. Filing<br>Date/<br>371 (c)<br>Date                   | Inventors   | Title   | Assignment<br>Recorded<br>(Reel,<br>Frame, Date)       | U.S.<br>Publication,<br>Date   |
|---------------------------|-----------------------------------|---|---|---|--|--|
|                           |                                   | 371 (c)<br>Date:<br>December<br>20, 2002                  | and Paul<br>THAU                                    | HETEROPOLY- MER AND AT LEAST ONE GELLING AGENT AND METHODS OF USING THE SAME  | 20, 2002   | 2003/018578<br>0 A1<br>Dated:<br>October 2,<br>2003  |
| 05725.<br>0819-<br>01000  | 10/129,377                        | May 3,<br>2002<br>371 (c)<br>Date:<br>October<br>16, 2002 | Véronique<br>FERRARI                                | COMPOSITION<br>STRUCTURED<br>WITH A<br>POLYMER<br>CONTAINING A<br>HETEROATOM<br>AND AN<br>ORGANOGELL-<br>ATOR                               | Filed October<br>16, 2002. Not<br>yet recorded.        | Not yet<br>published   |
| 05725.<br>0832-<br>00000  | 09/749,036                        | December<br>28, 2000                                      | Véronique<br>FERRARI<br>and<br>Véronique<br>JACQUES | COMPOSITION COMPRISING AT LEAST ONE HETERO POLYMER AND AT LEAST ONE PASTY FATTY SUBSTANCE AND METHODS FOR USE                               | Reel 011723,<br>Frame 0518,<br>on April 20,<br>2001    | U.S.<br>Published<br>Application<br>No. US<br>2001/003128<br>0 A1<br>Dated:<br>October 18,<br>2001 |
| 05725.<br>0895-<br>00000  | 09/971,028                        | October 5,<br>2001  | Mohamed<br>KANJI                                    | METHODS OF<br>USE AND OF<br>MAKING A<br>MASCARA<br>COMPRISING<br>AT LEAST ONE<br>COLORING<br>AGENT AND AT<br>LEAST ONE<br>HETEROPOLYM<br>ER | Reel 012411,<br>Frame 0820,<br>on December<br>28, 2001 | U.S.<br>Published<br>Application<br>No. US<br>2003/008688<br>3 A1<br>Dated: May<br>8, 2003         |
| 05725.<br>0895-<br>01000  | 10/413,217                        | April 15,<br>2003   | Mohamed<br>KANJI                                    | METHODS OF USE AND OF MAKING A MASCARA COMPRISING AT LEAST ONE COLORING AGENT AND AT  | Reel 012411,<br>Frame 0820,<br>on December<br>28, 2001 | U.S.<br>Published<br>Application<br>No. US<br>2003/019861<br>3 A1<br>Dated:                        |

| Attorney<br>Docket<br>No. | U.S. Patent<br>Application<br>No.   | U.S. Filing<br>Date/<br>371 (c)<br>Date  | Inventors                           | Title   | Assignment<br>Recorded<br>(Reel,<br>Frame, Date)       | U.S.<br>Publication,<br>Date   |
|---------------------------|---|--|-------------------------------------|---|--|--|
|                           |   | A Section of the Sect | 30.00                               | LEAST ONE POLYAMIDE POLYMER CHOSEN FROM ETHYLENEDIAM INE/STEARYL DIMER TALLATE COPOLYMER  |  | October 23,<br>2003  |
| 05725.<br>0895-<br>02000  | 10/699,780  | November<br>4, 2003  | Sue FENG<br>and<br>Mohamed<br>KANJI | METHODS OF<br>DISPERSING AT<br>LEAST ONE<br>COLORING<br>AGENT USING<br>AT LEAST ONE<br>HETEROPOLY-<br>MER                         | Reel 012411,<br>Frame 0820,<br>on December<br>28, 2001 | Not yet<br>published   |
| 05725.<br>0896-<br>00000  | 10/198,931  | July 22,<br>2002   | Mohamed<br>KANJI                    | COMPOSITIONS COMPRISING AT LEAST ONE HETEROPOLY- MER AND FIBERS, AND METHODS OF USING THE SAME                                    | Reel 013410,<br>Frame 0044,<br>on October<br>21, 2002  | U.S.<br>Published<br>Application<br>No. US<br>2004/001362<br>5 A1<br>Dated:<br>January 22,<br>2004 |
| 05725.<br>0920-<br>00000  | 09/899,909,<br>issued on<br>August 13,<br>2002 as U.S.<br>Patent No.<br>6,432,391 | July 9,<br>2001  | Isabelle<br>BARA                    | TRANSPARENT<br>SCENTED<br>SOLID<br>COSMETIC<br>COMPOSITION  | Reel 012278,<br>Frame 0077,<br>on October<br>23, 2001  | U.S. Patent<br>No.<br>6,432,391<br>Dated:<br>August 13,<br>2002                                    |
| 05725.<br>0932-<br>00000  | 09/937,314  | September<br>24, 2001<br>371 (c)<br>Date:<br>December<br>6, 2001   | Véronique<br>FERRARI                | A TRANSFER-<br>FREE<br>MASCARA<br>COMPOSITION<br>COMPRISING<br>AT LEAST ONE<br>VOLATILE<br>SOLVENT AND<br>AT LEAST ONE<br>POLYMER | Reel 012476,<br>Frame 0507,<br>on January<br>17, 2002  | Not yet<br>published   |

| Attorney<br>Docket<br>No. | U.S. Patent<br>Application<br>No. | U.S. Filing<br>Date/<br>371 (c)<br>Date | Inventors  | Title   | Assignment<br>Recorded<br>(Reel,<br>Frame, Date)    | U.S.<br>Publication,<br>Date   |
|---------------------------|-----------------------------------|---|--|---|---|--|
| 05725.<br>1003-<br>00000  | 10/012,029                        | December<br>11, 2001                    | Nathalie<br>COLLIN                                   | COSMETIC<br>COMPOSITION<br>COMPRISING A<br>POLYMER<br>BLEND   | Reel 013142,<br>Frame 0645,<br>on August 1,<br>2002 | U.S.<br>Published<br>Application<br>No. US<br>2003/001276<br>4 A1            |
|                           |                                   |   |  |   |   | Dated:<br>January 16,<br>2003  |
| 05725.<br>1004-<br>00000  | 10/012,051                        | December<br>11, 2001                    | Nathalie<br>COLLIN                                   | USE OF AT LEAST ONE POLYAMIDE_ POLYMER IN A MASCARA FOR RAPIDLY INCREASING THE AMOUNT OF MAKE-UP DEPOSITED ON EYELASHES | Reel 012847,<br>Frame 0285,<br>on April 30,<br>2002 | U.S. Published Application No. US 2002/018903 0 A1  Dated: December 19, 2002 |
| 05725.<br>1005-<br>00000  | 10/012,052                        | December<br>11, 2001                    | Nathalie<br>COLLIN                                   | COSMETIC<br>COMPOSITION<br>CONTAINING A<br>WAX AND A<br>POLYMER   | Reel 012847,<br>Frame 0264,<br>on April 30,<br>2002 | U.S. Published Application No. US 2002/016833 5 A1 Dated:                    |
|                           |                                   |   |  |   |   | November<br>14, 2002   |
| 05725.<br>1018-<br>00000  | 10/046,568                        | January<br>16, 2002                     | Xavier BLIN, Véronique FERRARI, and Frédéric AUGUSTE | NAIL POLISH<br>COMPOSITION<br>COMPRISING A<br>POLYMER   | Reel 013109,<br>Frame 0731,<br>on July 18,<br>2002  | U.S. Published Application No. US 2002/019216 8 A1  Dated: December          |
|                           |                                   |   |  |   |   | 19, 2002   |
| 05725.<br>1020-<br>00000  | 10/047,987                        | January<br>17, 2002                     | Véronique<br>FERRARI                                 | COSMETIC<br>COMPOSITION<br>COMPRISING A<br>POLYMER AND  | Reel 012910,<br>Frame 0028,<br>on May 17,<br>2002   | U.S.<br>Published<br>Application<br>No. US<br>2002/017269                    |

| Attorney<br>Docket<br>No. | U.S. Patent<br>Application<br>No. | U.S. Filing<br>Date/<br>371 (c)<br>Date                       | Inventors                                | Title   | Assignment<br>Recorded<br>(Reel,<br>Frame, Date)    | U.S.<br>Publication,<br>Date   |
|---------------------------|-----------------------------------|---|--|---|---|--|
|                           |                                   |   |  | A FLUORO OIL  |   | Dated:<br>November<br>21, 2002   |
| 05725.<br>1187-<br>00000  | 10/312,083                        | December<br>23, 2002<br>371 (c)<br>Date:<br>March 26,<br>2003 | Patricia<br>LEMANN                       | COSMETIC COMPOSITION COMPRISING AN EMULSION CONTAINING A LIQUID FATTY PHASE STRUCTURED WITH A POLYMER, AND AN ALKYLENE- OXIDE- CONTAINING EMULSION STABILIZER | Reel 014039,<br>Frame 0976,<br>on March 26,<br>2003 | U.S. Published Application No. US 2003/016180 7 A1  Dated: August 28, 2003   |
| 05725.<br>1198-<br>00000  | 10/450,108                        | June 11,<br>2003<br>371 (c)<br>Date: June<br>11, 2003         | Nathalie<br>COLLIN                       | COSMETIC<br>COMPOSITION<br>COMPRISING A<br>POLYMER AND<br>FIBERS  | Not yet<br>filed/recorded                           | U.S. Published Application No. US 2004/002863 6 A1  Dated: February 12, 2004 |
| 05725.<br>1228-<br>00000  | 10/466,166                        | July 14,<br>2003<br>371 (c)<br>Date:<br>January<br>20, 2004   | Nathalie<br>COLLIN                       | COSMETIC<br>COMPOSITION<br>COMPRISING A<br>MIXTURE OF<br>POLYMERS   | Filed January<br>20, 2004.<br>Not yet<br>recorded.  | Not yet<br>published   |
| 05725.<br>1336-<br>00000  | 10/459,636                        | June 12,<br>2003  | Shao Xiang<br>LU and<br>Mohamed<br>KANJI | COSMETIC EMULSIONS CONTAINING AT LEAST ONE HETERO POLYMER AND A SUNSCREEN   | Filed October<br>3, 2003; not<br>yet recorded       | Not yet<br>published   |

| Attorney<br>Docket<br>No. | U.S. Patent<br>Application<br>No. | U.S. Filing<br>Date/<br>371 (c)<br>Date                    | Inventors  | Title   | Assignment<br>Recorded<br>(Reel,<br>Frame, Date)                         | U.S.<br>Publication,<br>Date  |
|---------------------------|-----------------------------------|--|--|---|--|---|
|                           |                                   |  |  | AND METHODS<br>OF USING<br>SAME   |  |   |
| 05725.<br>1337-<br>00000  | 10/618,315                        | July 11,<br>2003   | Shao Xiang<br>LU, Terry<br>VAN LIEW,<br>and<br>Nathalie<br>GEFFROY-<br>HYLAND                      | COSMETIC COMPOSITIONS COMPRISING A STRUCTURING AGENT, SILICONE POWDER AND SWELLING AGENT                            | Filed August<br>12, 2003 and<br>January 30,<br>2004; not yet<br>recorded | Not yet published   |
| 05725.<br>1338-<br>01000  | 10/746,612                        | December<br>22, 2003                                       | Shao Xiang<br>LU, Terry<br>VAN LIEW,<br>Nathalie<br>GEFFROY-<br>HYLAND,<br>and<br>Mohamed<br>KANJI | COSMETIC COMPOSITIONS COMPRISING A STRUCTURING AGENT, SILICONE POWDER AND SWELLING AGENT                            | Not yet<br>filed/recorded  | Not yet<br>published  |
| 05725.<br>1338-<br>02000  | 10/747,412                        | December<br>22, 2003                                       | Shao Xiang<br>LU and<br>Mohamed<br>KANJI   | COSMETIC EMULSIONS CONTAINING AT LEAST ONE HETERO POLYMER AND AT LEAST ONE SUNSCREEN AND METHODS FOR USING THE SAME | Not yet<br>filed/recorded  | Not yet<br>published  |
| 06028.<br>0018-<br>00000  | 10/203,375                        | August 9,<br>2002<br>371 (c)<br>Date:<br>August 9,<br>2002 | Nathalie<br>JAGER-<br>LEZER and<br>Jean-<br>Christophe<br>SIMON                                    | COLOURED<br>TRANSPARENT<br>OR<br>TRANSLUCENT<br>COSMETIC<br>COMPOSITION   | Reel 013318,<br>Frame 0962,<br>on August 9,<br>2002                      | U.S. Published Application No. US 2003/002677 2 A1  Dated: February 6, 2003 |
| 06028.<br>0019-           | 10/203,374                        | August 9,<br>2002  | Jean-<br>Christophe<br>SIMON   | METHOD FOR<br>MAKING A<br>COLOURED  | Reel 013321,<br>Frame 0001,<br>on August 9,                              | U.S.<br>Published<br>Application  |

| Attorney<br>Docket<br>No. | U.S. Patent<br>Application<br>No. | U.S. Filing<br>Date/<br>371 (c)<br>Date | Inventors                          | Title                                      | Assignment<br>Recorded<br>(Reel,<br>Frame, Date) | U.S.<br>Publication,<br>Date  |
|---------------------------|-----------------------------------|---|------------------------------------|--|--|-------------------------------|
| 00000                     |                                   | 371 (c)<br>Date:                        | and<br>Nathalie<br>JAGER-<br>LEZER | MAKE-UP<br>COSMETIC<br>COMPOSITION<br>WITH | 2002   | No. US<br>2003/004436<br>7 A1 |
|                           |                                   | August 9,<br>2002                       |                                    | CONTROLLED<br>TRANSMITT-<br>ANCE           |  | Dated: March<br>6, 2003       |

## VII. Conclusion

In view of the foregoing remarks, Applicants respectfully request reconsideration of this application and the timely allowance of the pending claims.

Please grant any extensions of time required to enter this response and charge any additional required fees to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P.

Dated: May 18, 2004

Shalia V. Warnement, Reg NO. 39,064

By: Jon Evin C. De Carlo

Frin C. DeCarlo

Reg. No. 51.688

Attachments:

Exhibit 1: International Cosmetic Ingredient Dictionary and

Handbook ("CTFA") page 606.

Exhibit 2: Pending Claims in Copending Applications

Information Sources: CIR: [SQ]

Chemical Class: Synthetic Polymen

Functions: Binder; Film Former; Viscosity Incressing Agent - Nonsqueous

Technical/Other Name:

2-Propenoic Acid, Polymer with Ethene and **Ethenyl Acetate** 

### ETHYLENE BRASSYLATE

CAS No.

EINECS No.

105-95-3

203-347-8

Empirical Formula:

C15H26O4

Definition: Ethylene Brassylate is the cyclic ester that conforms to the formula:

Information Sources: 21CFR172.515, RIFM, TSCA

Chemical Class: Esters

Function: Fragrance Ingredient

Reported Product Categories: Foundations; Moisturizing Preparations; Cleansing Products (Cold Creams, Cleansing Lotions, Liquids and Pads); Personal Cleanliness Products, Misc.

Technical/Other Names: . 1,4-Dioxacycloheptadecane-5,17-dione Ethylene brassylate (RIFM) Ethylene Undecane Dicarboxylate

AEC Ethylene Brassylate (A & E Connock)

### ETHYLENE/CALCIUM ACRYLATE COPOLYMER

CAS No.: 26445-96-5

Empirical Formula:

(C3H4O2 + C2H4)2 + xCa

Definition: Ethylene/Calcium Acrylate Copolymer is a copolymer of ethylene and

calcium acrylate monomers.

Information Sources: 21CFR175.105, CIR:

[SQ]

Chemical Class: Synthetic Polymers

Functions: Binder, Film Former

Technical/Other Name:

2-Propenoic Acid, Polymer with Ethene, Calcium Salt

### ETHYLENE CARBONATE

CAS No. 96-49-1

EINECS No.

202-510-0

Empirical Formula:

C2H4O3

Definition: Ethylene Carbonate is the organic compound that conforms to the formula:

Information Sources: JCIC, JCLS

Chemical Class: Esters Function: Solvent Technical/Other Name: 1.3-Dioxolan-2-one

### ETHYLENEDIAMINE/STEARYL DIMER DILINOLEATE COPOLYMER

Definition: Ethylenediamine/Stearyl Dimer Dilinolests Copolymer is a copolymer of ethylenediamine and steary! dimer dilinoleste monomers:

Chemical Class: Synthetic Polymers

Functions: Oral Care Agent; Skin-Conditioning Agent - Miscellaneous; Viscosity Increasing

Agent - Nonaqueous

Trade Name: UNICLEAR (Arizona)

ETHYLENEDIAMINE/STEARYL DIMER

Definition: Ethylenediamine/Stearyl Dimer Tailate Copolymer is a copolymer of ethylenediamine and tall oil dimer acid monomers, andblocked with stearyl alcohol.

Chemical Class: Synthetic Polymers

Functions: Oral Care Agent; Skin-Conditioning Agent - Miscellaneous; Viscosity Increasing

Agent - Nonaqueous

Trade Name:

UNICLEAR (Arizona)

TALLATE COPOLYMER

ETHYLENE DICHLORIDE

CAS Nos. 107-06-2

EINECS Nos. 203-458-1

1300-21-6

215-077-8

**Empirical Formula:** 

C.H.C.

Definition: Ethylene Dichloride is the halo genated aliphatic hydrocarbon that conforms to the formula:

#### CICH, CH, CI

Information Sources: 21CFR165.110. 21CFR172.560, 21CFR172.710, 21CFR172.864 21CFR173.165, 21CFR173.230, 21CFR173.315, 21CFR175.105, 21CFR573.440, EEC(IL125). FCC, MI-12(3843), TSCA

Chemical Class: Halogen Compounds

Function: Not Reported Technical/Other Names: Dichloroethane

Ethane, 1,2-Dichloro-

### ETHYLENE DIHYDROGENATED TALLOWAMIDE

Definition: Ethylene Dihydrogenated Tallow amide is the diamide that conforms generally to the formula:

where RCO- represents the fatty acids derived from hydrogenated tallow.

Chemical Class: Amides

Function: Viscosity Increasing Agent -

Nonsqueous

Technical/Other Names:

N,N'-1,2-Ethanediylbis(Hydrogenated Tallow amide)

(Hydrogenated Tallowamide), N,N'-1,2-Ethanediylbis-

### ETHYLENE DILINOLEAMIDE

Definition: Ethylene Dilinoleamide is the condensation product of ethylenedismine Dilinoleic Acid (q.y.).

Information Sources: JCIC, JCLS

Chemical Class: Amides

Function: Skin-Conditioning Agent -

Miscellaneous

Technical/Other Name:

Condensate of Dilinoleic Acid and Ethyldiamine

### ETHYLENE DIOLEAMIDE

CAS No.

EINECS No.

110-31-6

203-756-1

The inclusion of any compound in the Dictionary and Handbook does not indicate that use of that substance as a cosmetic ingredient complies with the laws and regulations governing such use in the United States or any other country.